

IN THE UNITED STATES BANKRUPTCY COURT
FOR THE SOUTHERN DISTRICT OF NEW YORK

In re:

LEHMAN BROTHERS HOLDINGS INC

Lehman Brothers Holdings, Inc.

("the Debtors")

Chapter 11

Case No. 08-13555 (JMP) Jointly
Administered

Case No. 08-13555

Claim No.: 37653

**NOTICE OF TRANSFER OF CLAIM PURSUANT TO F.R.B.P. RULE 3001 (E)(2) FOR FILED CREDITOR,
KRAUS PARTNER INVESTMENT SOLUTIONS AG IN ITS CAPACITY A MANAGER TO THE ALTEA
SICAV-FIS AND ACTING FOR ALTEA SICAV-FIS-GLOBAL ONE, IN THE AMOUNT OF
\$832,497.82, TO BLUE ANGEL CLAIMS, LLC**

To Transferor:

Kraus Partner Investment Solutions AG
in its Capacity a Manager to the Altea
SICAV-FIS and Acting for Altea SICAV-FIS-Global One
Limmatquai 122
CH-8001 Zurich
SWITZERLAND

PLEASE TAKE NOTICE that the transfer of \$832,497.82 of the above-captioned general unsecured claim has been transferred to:

Transferee:

Blue Angel Claims, LLC
Attn: Anthony Yoseloff, Manager
65 East 55th Street, 19th Floor
New York, NY 10022

The evidence of transfer of claim is attached hereto. A copy of the Proof of Claim and a copy of the Claims Agent website listing the claim are attached.

If your objection is not timely filed, the transferee will be substituted in your place as the claimant on our records in this proceeding.

(FOR CLERK'S OFFICE USE ONLY):

This notice was mailed to the first named party, by first class mail, postage prepaid on _____, 2009.

INTERNAL CONTROL NO. _____

Copy: (check) Claims Agent ☐ Transferee ☐ Debtors's Attorney ☐

Deputy Clerk

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, KRAUS PARTNER INVESTMENT SOLUTIONS AG ACTING IN ITS CAPACITY AS MANAGER TO THE ALTEA SICAV-FIS AND ACTING FOR ALTEA SICAV - FIS - GLOBAL ONE ("Assignor") hereby unconditionally and irrevocably sells, transfers and assigns to BLUE ANGEL CLAIMS, LLC ("Assignee") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("LBHI") (the "Debtor"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court"), and the relevant portion of any and all proofs of claim (Nos. 4866, 12635, 37653) filed by Assignor or its predecessor-in-interest with the Bankruptcy Court in respect of the foregoing claim.

Assignor hereby waives any objection to the transfer of the claim to Assignee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Assignor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Assignor transferring to Assignee the foregoing claim, recognizing Assignee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Assignee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 16 day of December 2009.

KRAUS PARTNER INVESTMENT SOLUTIONS AG
ACTING IN ITS CAPACITY AS MANAGER TO THE
ALTEA SICAV-FIS AND ACTING FOR ALTEA SICAV -
FIS - GLOBAL ONE

By: [Signature]

Name: THOMAS KRAUS


Title: CEO

BLUE ANGEL CLAIMS, LLC

By: [Signature]

Name: Avram Friedman

Title: Manager

| | | | |
|--|--|---|---|
| United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076 | | PROOF OF CLAIM | |
| In Re: Lehman Brothers Holdings Inc., et al. Debtors. | Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered) | Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000037653 | |
| Name of Debtor Against Which Claim is Held Lehman Brothers Holdings Inc. | Case No. of Debtor 08-13555 |  | |
| NOTE: This form should not be used to make a claim for an administrative expense arising after the commencement of the case. A request for payment of an administrative expense may be filed pursuant to 11 U.S.C. § 503. Additionally, this form should not be used to make a claim for Lehman Programs Securities (See definition on reverse side.) | | | |
| Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) KRAUS PARTNER INVESTMENT SOLUTIONS AG IN ITS CAPACITY AS MANAGER TO THE ALTEA SICAV-FIS LIMMATQUAI 122 ZUERICH CH-8001 SWITZERLAND | | <input checked="" type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. | Court Claim Number: 12635 (If known) Filed on: Sept. 14, 2009 |
| Telephone number: +41442671517 Email Address: roth@krauspartner.com | | <input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars. | |
| Name and address where payment should be sent (if different from above) | | <input type="checkbox"/> Check this box if you are the debtor or trustee in this case. | |
| Telephone number: Email Address: | | | |
| 1. Amount of Claim as of Date Case Filed: \$ 832497.82 If all or part of your claim is secured, complete Item 4 below; however, if all of your claim is unsecured, do not complete item 4. If all or part of your claim is entitled to priority, complete Item 5. If all or part of your claim qualifies as an Administrative Expense under 11 U.S.C. §503(b)(9), complete Item 6. | | | |
| <input checked="" type="checkbox"/> Check this box if all or part of your claim is based on a Derivative Contract.* <input checked="" type="checkbox"/> Check this box if all or part of your claim is based on a Guarantee.* *IF YOUR CLAIM IS BASED ON AMOUNTS OWED PURSUANT TO EITHER A DERIVATIVE CONTRACT OR A GUARANTEE OF A DEBTOR, YOU MUST ALSO LOG ON TO http://www.lehman-claims.com AND FOLLOW THE DIRECTIONS TO COMPLETE THE APPLICABLE QUESTIONNAIRE AND UPLOAD SUPPORTING DOCUMENTATION OR YOUR CLAIM WILL BE DISALLOWED. <input checked="" type="checkbox"/> Check this box if claim includes interest or other charges in addition to the principal amount of the claim. Attach itemized statement of interest or additional charges. Attach itemized statement of interest or charges to this form or on http://www.lehman-claims.com if claim is a based on a Derivative Contract or Guarantee. | | | |
| 2. Basis for Claim: collateral, open trades, expenses and interest (See instruction #2 on reverse side.) | | | |
| 3. Last four digits of any number by which creditor identifies debtor: 3a. Debtor may have scheduled account as: 'Altea SICAV-FIS' or 'Kraus Partner' (See instruction #3a on reverse side.) | | | |
| 4. Secured Claim (See instruction #4 on reverse side.) Check the appropriate box if your claim is secured by a lien on property or a right of setoff and provide the requested information. Nature of property or right of setoff: <input type="checkbox"/> Real Estate <input type="checkbox"/> Motor Vehicle <input type="checkbox"/> Other Describe: _____ Value of Property: \$ _____ Annual Interest Rate _____ % Amount of arrearage and other charges as of time case filed included in secured claim, if any: \$ _____ Basis for perfection: _____ Amount of Secured Claim: \$ _____ Amount Unsecured: \$ _____ | | | |
| 6. Amount of Claim that qualifies as an Administrative Expense under 11 U.S.C. §503(b)(9): \$ _____ (See instruction #6 on reverse side.) | | | |
| 7. Credits: The amount of all payments on this claim has been credited for the purpose of making this proof of claim. 8. Documents: Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages and security agreements. Attach redacted copies of documents providing evidence of perfection of a security interest. (See definition of "redacted" on reverse side.) If the documents are voluminous, attach a summary. DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING. If the documents are not available, please explain: | | | |
| Date: Oct. 08, 2009 | Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. Thomas Kraus, CEO | | |
| Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571. | | | |
| FOR COURT USE ONLY <div style="border: 2px solid black; padding: 10px; width: fit-content; margin: 0 auto;"> FILED / RECEIVED OCT 13 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC </div> | | | |

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
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Lehman Brothers Holdings Inc. (Chapter 11)

| Filed Claims and Schedules | | | | | | |
|---|---------------|---|------------|---|---|--|
| Claim # | 37653 | Name Starts With | | | Debtor | ... |
| Schedule # | | Total Claim Value | Equals | | Scope | Claims and Schedules |
| Claim Date Range | | | to | | | |
| Order By | Creditor Name | Results Per Page | | | 10 | <input type="button" value="Search"/> <input type="button" value="Clear"/> |
|  Page 1 of 1  | | | | | Page <input type="text"/> Go | |
| Claim # | Schedule # | Creditor Name | Date | Total Claim Value | | |
| 37653 | | KRAUS PARTNER INVESTMENT SOLUTIONS AG IN ITS CAPACITY AS MANAGER TO THE ALTEA LIMMATQUAI 122 ZUERICH, CH-8001 SWITZERLAND Debtor: LEHMAN BROTHERS HOLDINGS, INC. | 10/13/2009 | \$832,497.82 Claim Unsecured Amount: \$832,497.82 Remarks: amends claim 12635 |  | |
| Claims 1-1 of 1 | | | | | | |

Epiq Bankruptcy Solutions, LLC ("Epiq") maintains this website for the public's convenience. While Epiq makes every attempt to assure the accuracy of the information contained herein, this website is not the website of the United States Bankruptcy Court and does not contain the complete, official record of the Bankruptcy Court. All documents filed with the Bankruptcy Court are available for inspection at the office of the Clerk of the Bankruptcy Court during its normal business hours or online on the Bankruptcy Court's website. Use of this website is also subject to our [terms of use](#) and [end user license agreement](#). Please review our [privacy statement](#) for additional information regarding the data maintained on this website.

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